1 Stochastic Backtrack of RNA consensus structures

For the stochastic backtracking of RNAalifold, we need the probabilities for the single building blocks of secondary structures. These probabilities can easily be computed out of the matrices of the partition function version of RNAalifold:

Q(i,j) The partition function of the sequence stretch [i,j]

- $Q^B(i,j)$ The partition function of the sequence stretch [i,j] given that i,j is a base pair.
- $Q^{M}(i,j)$ The partition function of the sequence stretch [i,j] as a part of a multi loop containing at least one component.
- $Q^{M1}(i,j)$ The partition function of the sequence stretch [i,j] as a part of a multi loop containing exactly one component starting at i.

Starting with the unpaired chain, we look for the first base that is paired:

$$p_{\text{i is paired}} = 1 - \frac{Q(i+1,n)}{Q(i,n)} \tag{1}$$

When an i is found, the respective pairing partner j is sought:

$$p_{\text{pair}}(j|i) = \frac{\exp\left(\frac{-\beta\gamma(i,j)}{kT}\right)Q^B(i,j)Q(j+1,n)}{Q(i,n)}$$
(2)

Having found a base pair, we have to find out whether it closes a hairpin loop, interior loop or multi loop (the interval [j + 1, n] is backtracked later on):

$$p_{\text{hairpin}}(i,j) = \frac{\exp\left(\frac{-\sum_{\alpha} \mathfrak{H}(i,j,\alpha)}{kT}\right)}{Q^B(i,j)}$$

$$p_{\text{interior loop }k,l}(i,j) = \frac{\exp\left(\frac{-\sum_{\alpha} \mathfrak{I}(i,kl,\alpha)}{kT}\right)Q^B(k,l)\exp\left(\frac{-\beta\gamma(k,l)}{kT}\right)}{Q^B(i,j)}$$

$$p_{\text{multi loop }u}(i,j) = \frac{Q^M(i+1,u)Q^{M1}(u+1,j-1)\exp\left(\frac{-\mathfrak{a}}{kT}\right)}{Q^B(i,j)}$$

In case a hairpin loop is found, backtracking stops for this interval, in case of an interior loop, the next base pair k, l is backtracked. When a Multi loop is found, we have to backtrack $Q^M(i+1, u)$ and $Q^{M1}(u+1, j-1)$. Q^M backtrack works just like backtracking Q:

$$p_{i \text{ is paired in } Q^{M}(i,u)} = \frac{Q^{M}(i+1,u)}{Q^{M}(i,u)}$$

$$p_{pair}(j|i,Q^{M}(i,u)) = \frac{\exp\left(\frac{-\beta\gamma(i,j)}{kT}\right)Q^{B}(i,j)\left(\frac{-\beta\gamma(i,j)}{kT}\right)\exp\left(\frac{-\mathfrak{b}}{kT}\right)}{Q^{M}(i,u)} *$$

$$* \frac{Q^{M}(j+1,u)+\exp\left((u-j)\frac{-\mathfrak{c}}{kT}\right)}{Q^{M}(i,u)}$$

When $Q^{M}(j+1, u) = 0$, backtrack stops. The probability for j in $Q^{M1}(i, v)$ is:

$$p(j|Q^{M1}(i,v) = \frac{Q^B(i,j)\exp\left(\frac{-\beta\gamma(i,j)}{kT}\right)\exp\left(\frac{-\mathfrak{c}(v-j)}{kT}\right)}{Q^{M1}(i,v)}$$
(3)

In this computation, $\mathfrak{H}(i, j, \alpha)$ and $\mathfrak{I}(ij, kl, \alpha)$ are the hairpin and interior loop energies for the sequence α of the alignment, respectively. $\mathfrak{a}, \mathfrak{b}, \mathfrak{c}$ are the multi loop energy terms for closing a multi loop, a stem within a multi loop and a free base within a multi loop, resp. $\gamma(i, j)$ is the covariation penalty and β its weighting factor, k is Boltzmann's constant and T the absolute temperature.