

Carnival of SL RNAs: Structural variants and the possibility of a common origin

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Abstract

SL RNA *trans*-splicing so far has been described in seven eukaryotic phyla. A careful re-evaluation of predicted SL RNA secondary structures reveals striking similarities among Euglenida, Kinetoplastida, Dinophyceae, cnidarians, rotifers, nematods, platyhelminths and tunicates. Together with conserved sequence motifs, our analysis suggests an early common origin of spliced leader *trans*-splicing.

Key words:

Introduction

Many eukaryotes have two fundamental modes of spliceosomal splicing. *cis*-splicing is the excision of introns. In *trans*-splicing, on the other hand, a short *leader* sequence is transferred to the 5' end of a (typically protein coding) mRNA, which is usually processed from a polycistronic transcript. This leader contains the 5' hypermodified cap structure necessary for translational initiation [1]. In all cases so far, the leader sequence is derived from small non-coding RNA, the spliced-leader (SL) RNA, which has a common organization, Fig. 1.

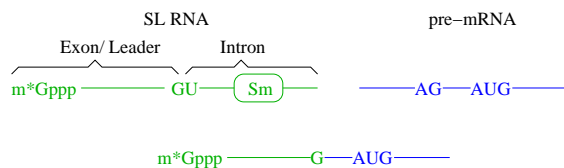


Figure 1: Schematic drawing of a typical SL RNA

The first SL RNAs were discovered in kinetoplastids a quarter of a century ago [2, 3]. A few years

later, related RNAs were found in *Euglena gracilis* [4]. The first metazoan examples were the nematode *Caenorhabditis elegans* [5] and in platyhelminth *Schistosoma mansoni* [6]. Many more examples were soon found in related species see Tab. 2, but it took until the turn of the millenium before SL RNAs were discovered in additional metazoan phyla (cnidaria [7], tunicates [8, 9], rotifera [10]), and in dinoflagellates [11, 12]. In some species, multiple divergent copies of the SL RNA have been reported [13, 4, 14, 10], and some groups of species harbour two or more clearly distinct types of SL RNAs [15, 7, 14]. Of these SL1 and SL2 are distinguished also in the Rfam [16]. On the other hand, several model organism do not seem to utilize *trans*-splicing: despite substantial efforts, no evidence for *trans*-splicing could be gathered for *Drosophila melanogaster*, *Saccharomyces cerevisiae*, and *Arabidopsis thaliana* [17, 18], and no evidence for *trans*-splicing has been reported in vertebrates despite the availability of extensive transcriptomics data.

The unexpectedly scattered distribution of SL *trans*-splicing across the phylogeny of Eukarya has prompted interest in the evolution of *trans*-splicing already two decades ago. To-date the two major competing hypotheses (reviewed in [7, 17, 18]) still remain unresolved:

1. SL RNAs have a common origin (Fig. 2a). Based

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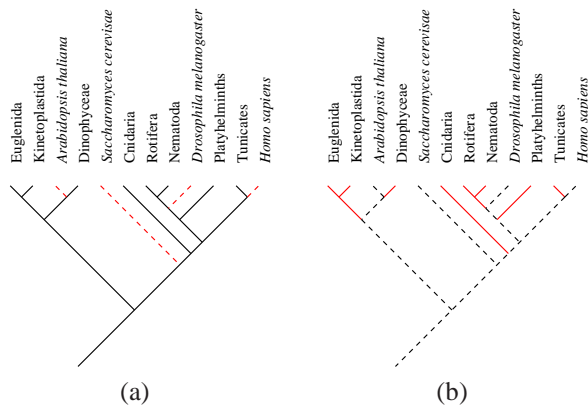


Figure 2: Evolution of SL RNAs ([7, 17]). (a) SL RNAs have a common eukaryotic ancestor. (b) SL RNAs derived independently in seven clades, which we here show to be improbable.

on the fact, that all SL RNAs have the same function of resolving Pol II transcripts to monocistronic mRNAs.

2. SL RNAs arose on multiple occasions (Fig. 2b)

The first hypothesis is supported primarily by the functional and mechanistic similarities of SL-*trans*-splicing, while the failure to detect sequence homology between SL RNAs from different phyla and the apparent disparity of SL RNA secondary structure has lead to the second hypothesis, see e.g. [19].

Here we re-evaluate the secondary structures from the previous studies. We find that much of the apparent differences between SL RNA secondary structures can be attributed to methodological problems. We find that SL RNAs in fact can fold into fairly similar secondary structure that even admit similar structural alternatives even though the sequences themselves show very few similarities across phyla. Although we cannot provide as definite proof, our evidence strongly leans towards a common origin of the SL-*trans*-splicing early in the evolution of Eukarya.

Results

Re-evaluation of secondary structures

Tab. 2 summarizes the published secondary structures together with selected structures that computed with parameters adjusted to each organism’s optimal ambient temperature. Additional structural alternatives can be found in the supplemental material. Many of the published structures were obtained using *mfold* with standard parameters (i.e., a temperature of $T = 37^{\circ}\text{C}$),

which is lethal for most of the organisms in question, in particular the unicellular ones [20]. In several cases, which we will discuss in detail in the next paragraphs, our analysis deviates drastically from the published data. Small corrections and differences are briefly mentioned in the Methods section.

Dinoflagellata. The *K. brevis* SL RNA was reported in [11] with a donor splice site that is not consistent with the EST data from the same work. Moreover, the reported structure is energetically unfavourable at all temperatures, with and without an additional constraints that forces the Sm binding site to be unpaired. The structural analysis makes it likely, furthermore, that this SL RNA is 24nt shorter than the published sequence, consistent with proposed A_5 termination signal [11]. Completely different models of dinoflagellate SL RNAs are proposed in [12]. For both *K. micrum* and *P. piscicida* the Sm binding site is shown upstream of the splice site instead of downstream. If correct, this would indicate major differences in the organization of the *trans*-splicing machinery. However, the Sm proteins and U1 snRNA seem to be conserved in dinoflagellates [21]. The *P. minimum* is reported without Sm binding site. A simple sequence alignment of this sequence with the SL RNA of *K. brevis* shows that the SL RNA is clearly conserved among these alveolates. Thus, either the published *K. brevis* is much too long, or the dinoflagellate SL RNA sequences from the work of [12] are truncated. Adding 4’nt on the 5’ side and 79nt on the 3’ side to the published sequence from the genomic DNA available from GenBank (*EF143079.1*, *EF143082.1*, *EF143084.1*), we easily obtain structure models that conform to the common organization of SL RNAs of other phyla. Detailed alignments can be found in the Electronic Supplement at www.bioinf.uni-leipzig.de/Publications/SUPPLEMENTS/09-009.

Euglenida. Stem IV of the published *Entosiphon* SL RNA [22] has a positive folding energy under all parameter settings, strongly suggesting that this substructure is not formed. For *E. sulcatum* we identify a possible alternative Sm binding site, which would suggest that this SL RNA would be 16 nt shorter, see Tab. 2. The extended sequence then folds similarly to the known secondary structures in other phyla.

Tunicata. Within tunicates, the sequence similarity between *C. intestinalis* and *O. dioica* is much higher than previously reported [9]. We therefore expanded the *C. intestinalis* sequence 20nt to both sides, covering the well-conserved part of the genomic locus.

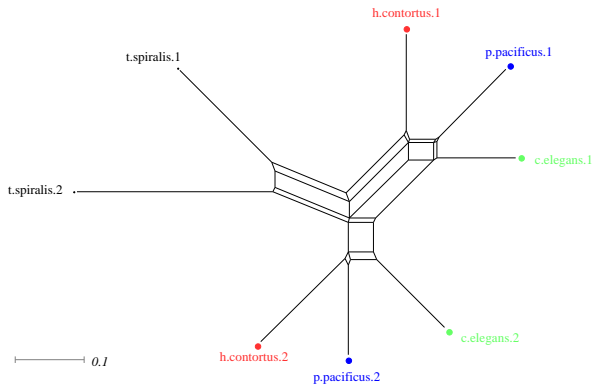


Figure 3: Neighbor-net analysis confirms that nematode SL1 and SL2 RNAs form distinct paralog groups, with the possible exception of *Trichinella*.

Sm Binding Motif. In most of the previous publications, structures were computed with the constraint of an externally unpaired Sm-binding site. However, most of the sequences can fold in a hairpin structure in which (most of) the Sm-binding site is located in an accessible loop, as reported e.g. for *Euglena* [4] and *Hydra* [7]. Therefore we report structures with and without a constraint on the Sm-binding site in Tab. 2.

Phylum specific alignments

The SL RNAs are fairly well conserved as sequence level within each of the 7 phyla. Alignments can be found in the Electronic Supplement. An exception is the 3' part of the *T. brucei* SL RNA, which has a long insert upstream of the Sm-binding site.

The two paralogous SL RNA in *Hydra* are probably recent duplicates, sharing a highly conserved 17nt long block in the 3' region. Neither paralog has a recognizable homolog in *Nematostella vectensis*.

Most nematodes have multiple SL RNAs. Within Rhabditina, there are clearly discernible paralog groups SL1 and SL2 [23]. The SL RNAs of *Trichinella* (clade Dorylaimia) [14] do not fit well into this scheme, however (Fig. 3).

A sequence-based alignment between two adjacent phyla shows no conserved regions, as expected. However, aligning just exonic parts reveals some similarities between rotifers and nematods, Fig. 4.

Ubiquitous Sequence Features

Not surprisingly, the donor splice-site, G|GU, and the U-rich Sm-binding site are well conserved within each phylum and can be detected easily using Meme [24] in

STOCKHOLM 1.0

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c.elegans.1      GGTTT...AA.TTACCCAAGTTT...GAG
p.pacificus1    GGTTT...AA.TTACCCAAGTTT...GAG
h.contortus1    GGTTT...AA.TTACCCAAGTTT...GAG
c.elegans2      GGTTT.TA.....ACCCA.GTACTT.CAAG
h.contortus2    GGTTT.TA.....ACCCA.GTACTT.CAAG
p.pacificus2    GGTTTAT.....ACCCA.GTACTT.CAAG
a.ricciael      GGCTTATTACAACCTA.CCAAG.....AG
philodina       GGCTTATTACAACCTA.CCAAG.....AG
t.spiralis      GG..TAT.....TTA.CCA.G.ATCTAAAAG
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Figure 4: A sequence feature shared between rotifer and nematod SL RNAs.

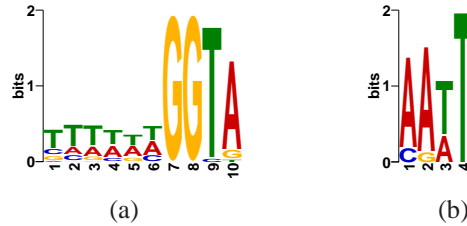


Figure 5: Consensus of (a) Donor splice site and (b) Sm binding site of SL RNA for all seven clades.

the complete data set, Fig. 5). No other sequence similarities have been reported previously, and a sequence alignment does not pick up any additional motifs. However, a comparison of the IUPAC-code consensus sequences of the 7 phyla identifies several weak sequence features, Fig. 6. Details can be found in the Electronic Supplement.

- Upstream of SL RNA stem I, there is an A/C-rich region containing an occasional T. We denote this region by H^* since $H = \{A, C, T\}$. This G-poor sequence conform to the proposed

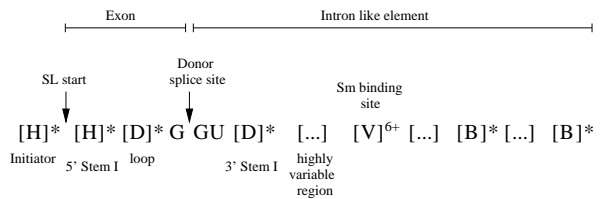


Figure 6: Common sequence features of SL-RNAs: Initiator lacking of G, a variable length of Stem I, which 5' part consists mainly of A, C, T followed by a loop, which for SL RNA- α contains mainly T and for SL RNA- β A, G. The Donor Splice site is located downstream of the loop in the hairpin with the highly conserved GGU-motif. Stem I continuous nearly without any C. After a highly variable region, the known Sm binding site with the common motif AATTTTGG and a possible unpaired region a last part often structured as a hairpin shows no A to be paired.

initiator sequences UNCU in euglenoids [19], YA⁺NU/AYYY generally observed in metazoans [25], YYHBYA⁺ACU described for trypanosomes [26] and CA⁺AUCUC in *K. brevis* [11].

- The loop and 3' part of the first hairpin are depleted in C. This may be associated with constraints associated with the splice-site and/or the binding affinity between SL RNA to mRNA.
- The 5' part of the first hairpin (most of the exon) shows a lack of G, explained by the pairing with the D= {A, G, T} region mentioned above. This H-block is less well-conserved than the 3' D-block due to the possibility of G-U pairing. A succession of A-U pairs followed by U-A pairs in the outer part of stem I (just "below" the splice site) was reported in [19] for euglenoids. In several other clades such a stringent pattern is not visible, however.
- The loop of stem I shows a clear prevalence of W= {A,U}. Depending on the A/U-ratio, two subtypes can be distinguished (see below).
- The donor-splicing site is highly conserved with the sequence G|GU, Fig. 5. It is always located downstream of loop I.
- The region between stem I and the Sm-binding site is highly variable not only between but also within each phylum.
- The SM binding site consists of a highly conserved D-region. The common pattern is AAUUUUUGG, Fig. 5b, with the sole exception of *Oikopleura dioica*.
- Stems downstream of the Sm binding site show a highly conserved C, G-rich B= {C,G,U} stem. The loop in contrast is A-rich.

Taken together, we find recognizable sequence constraints covering almost the entire SL RNA gene.

The loop region of stem I clearly distinguished two sub-types of SL RNAs. In class α , the loop consists mostly of Us, while the loop in class β is essentially free of Us. In most metazoans with more than SL RNA (e.g. *C. elegans*, *H. contortus*, *P. pacificus*, *T. spiralis*, and *S. mediterranea* both types are present. The two rotifers *A. ricciae* and *Rhilodina sp.*, as well as *C. intestinalis* have only type β , while otherwise type α appears to be prevalent. In the cnidarian *Hydra* the classification remains ambiguous.

Secondary Structure Analysis

The secondary structures obtained by folding SL RNAs with and without constraints, and those reported in the literature, can be classified into 10 groups. Using each of these structures as constraints, we computed the folding energies of all known SL RNAs. Tab. 1 lists the results, which can be summarized as follows:

1. Most euglenid SL RNA folds into 4 hairpins. However, other phyla such as cnidaria, rotifers, or tunicates (due to the shorter sequence) never fold into such a structure.
2. The SL RNAs of all species can fold into a single hairpin including both the donor splice site and a Sm binding site. Except for *Oikopleura*, however, this structure is never energetically preferred.
3. Stem I upstream of the Sm-binding site is highly conserved. All SL RNAs except that of *Oikopleura* can form this structure. In most cases, this structure is also thermodynamically highly favoured, (see Tab. 1, 3rd and 4th column).
4. For the Sm-binding site either a completely unbound external structure or a mostly unbound location within a hairpin loop were discussed. Both structural models are plausible, the hairpin variant is always energetically favorable.
5. In most SL RNAs, stem I folds can attain two different hairpins, see below (Fig. 7).

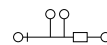
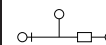
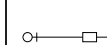
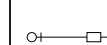
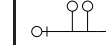
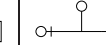
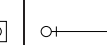
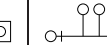
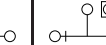
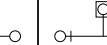
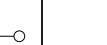
Interestingly, the sequence underlying stem I can form two alternative structures, Fig 7. Since the sequences are highly divergent between phyla, it is very unlikely to observe the same structural alternative throughout the entire dataset. We therefore conclude that the conformational change between the two alternatives is required for SL RNA function.

Secondary structure alignments show common features within each phylum, as well as for rotifers and nematods together. Weak signals fuer conserved structure elements were obtained by aligning all prostomia together, Fig. 8. The corresponding alignments are compiled in the Electronic Supplement.

Discussion

SL RNAs share a common function in *trans*-splicing [18, 17]. They provide a short exonic leader sequence with an unusual trimethyl-guanosine cap and they play an active role in the spliceosome-catalyzed processing by virtue of binding to the Sm protein. SL RNAs are found in wide range of eukaryotic phyla, they are conspicuously absent in many major clades suggesting a complex evolutionary history.

Table 1: Secondary structures, their MFE_{min} for all constraint folded MFE_{cons} and their ratio of constraint folding to minimum MFE (MFE_{cons}/MFE_{min}).

Organism	T	MFE_{min}																				
<i>E. gracilis</i>	29	-35.02	-35.02	1.00	-23.38	0.67	-20.51	0.59	-6.22	0.18	-22.52	0.64	-27.15	0.78	-21.54	0.62	-31.50	0.90	-29.27	0.84	-21.94	0.63
<i>P. curvicauda</i>	22	-41.51	-41.51	1.00	-34.19	0.82	-24.35	0.59	-11.65	0.28	-32.71	0.79	-32.86	0.79	-23.18	0.56	-34.98	0.84	-35.13	0.85	-25.45	0.61
<i>C. acus</i>	22	-54.10	-54.10	1.00	-40.10	0.74	-27.01	0.50	-13.84	0.26	-46.80	0.87	-42.92	0.79	-33.50	0.62	-49.68	0.92	-40.73	0.75	-28.97	0.54
<i>R. costata</i>	23	-42.69	-42.69	1.00	-32.29	0.76	-17.57	0.41	-6.61	0.15	-27.23	0.64	-24.78	0.58	-14.38	0.34	-32.01	0.75	-30.09	0.70	-19.69	0.46
<i>M. pellucidum</i>	23	-35.73	-35.73	1.00	-28.32	0.79	-15.28	0.43	-7.66	0.21	-	0	-22.26	0.62	-20.05	0.56	-23.67	0.66	-27.55	0.77	-17.75	0.50
<i>E. sulcatum-long</i>	25	-35.98	-	0	-	0	-	0	-7.13	0.20	-35.98	1.00	-33.38	0.93	-14.13	0.39	-	0	-	0	-	0
<i>*E. sulcatum-short</i>	25	-35.07	-16.47	0.47	-19.29	0.55	-11.84	0.34	-7.15	0.20	-	0	-35.07	1.00	-33.86	0.97	-	0	-35.66	0.96	-27.13	0.77
<i>T. cruzi</i>	23	-44.05	-34.93	0.79	-38.23	0.87	-32.84	0.75	-24.96	0.57	-33.17	0.75	-38.58	0.88	-42.24	0.96	-34.75	0.79	-44.05	1.00	-43.74	0.99
<i>T. vivax</i>	23	-53.64	-46.90	0.87	-53.64	1.00	-43.94	0.82	-22.16	0.41	-	0	-	0	-42.79	0.80	-46.25	0.90	-51.40	0.96	-48.61	0.91
<i>T. brucei</i>	23	-75.05	-60.45	0.81	-75.05	1.00	-34.05	0.45	-15.95	0.21	-48.89	0.65	-45.54	0.61	-44.64	0.59	-55.73	0.74	-71.99	0.96	-43.42	0.58
<i>*T. bruceiS' SM</i>	23	-80.31	-	0	-	0	-	0	-	0	-	0	-	-	0	-	-	-	-	-	-	-
<i>L. collosoma</i>	28	-27.43	-	0	-27.43	1.00	-21.07	0.77	-15.60	0.57	-	0	-23.54	0.86	-22.69	0.83	-	0	-21.85	0.80	-22.53	0.82
<i>C. fasciculata</i>	20	-30.83	-25.40	0.82	-30.83	1.00	-29.66	0.96	-21.44	0.70	-21.20	0.69	-23.13	0.75	-30.10	0.98	-	0	-30.76	1.00	-29.62	0.96
<i>L. enriettii</i>	36	-24.72	-12.68	0.51	-17.26	0.70	-11.00	0.44	-10.36	0.42	-	0	-	0	-24.72	1.00	-	0	-	0	-	0
<i>K. brevis</i>	20	-45.34	-38.06	0.84	-45.34	1.00	-40.31	0.89	-22.47	0.50	-	0	-	0	-33.03	0.73	-	0	-21.04	0.46	-22.77	0.50
<i>*K. brevis3' SM</i>	20	-50.23	-41.21	0.82	-39.88	0.79	-22.74	0.45	-19.30	0.38	-45.41	0.90	-50.23	1.00	-39.31	0.78	-28.14	0.56	-26.02	0.52	-16.17	0.32
<i>K. micranlong</i>	20	-54.66	-	0	-53.29	0.97	-53.56	0.98	-26.06	0.48	-	0	-23.93	0.44	-30.62	0.56	-	0	-47.97	0.88	-54.66	1.00
<i>Hydra-A</i>	18	-18.06	-	0	-10.96	0.61	-9.26	0.51	-6.60	0.37	-	0	-	0	-18.06	1.00	-	0	-12.63	0.70	-14.35	0.79
<i>Hydra-B1</i>	18	-18.80	-	0	-	0	-18.80	1.00	-7.63	0.41	-	0	-	0	-12.19	0.65	-	0	-	0	-18.03	0.96
<i>Hydra-B2</i>	18	-18.03	-	0	-18.03	1.00	-11.79	0.65	-8.20	0.45	-	0	-15.86	0.88	-17.23	0.96	-	0	-14.70	0.82	-10.85	0.60
<i>Hydra-B3</i>	18	-24.27	-	0	-11.62	0.48	-8.82	0.36	-8.20	0.34	-	0	-22.17	0.91	-20.88	0.86	-	0	-24.27	1.00	-14.93	0.62
<i>A. ricciae</i>	24	-46.48	-	0	-	0	-36.14	0.78	-6.76	0.15	-	0	-	0	-	0	-	-	-	-	-	-
<i>Phylodina sp.</i>	20	-49.82	-	0	-	0	-42.28	0.85	-7.77	0.16	-	0	-	0	-	0	-	-	-	-	-	-
<i>C. elegans 1</i>	20	-44.73	-30.04	0.67	-43.54	0.97	-28.75	0.64	-12.27	0.27	-28.25	0.63	-33.80	0.76	-12.27	0.27	-	0	-44.73	1.00	-31.67	0.71
<i>C. elegans 2</i>	20	-58.38	-43.52	0.75	-57.14	0.98	-47.88	0.82	-23.35	0.40	-33.79	0.58	-32.85	0.56	-34.06	0.58	-52.20	0.89	-58.38	1.00	-49.20	0.84
<i>T. spiralis 1</i>	36	-30.15	-20.92	0.69	-27.80	0.92	-21.08	0.70	-8.34	0.28	-	0	-17.41	0.58	-19.06	0.63	-	0	-30.15	1.00	-20.71	0.69
<i>T. spiralis 2</i>	36	-19.51	-	0	-19.51	1.00	-8.40	0.43	-7.91	0.41	-	0	-17.78	0.91	-11.74	0.60	-	0	-18.27	0.94	-11.81	0.61
<i>P. pacificus 1</i>	20	-54.23	-19.16	0.35	-50.76	0.94	-36.91	0.68	-13.79	0.25	-38.17	0.70	-36.34	0.67	-37.31	0.69	-54.03	1.00	-54.23	1.00	-37.30	0.69
<i>P. pacificus 2</i>	20	-51.68	-48.85	0.95	-48.98	0.95	-39.48	0.76	-19.94	0.39	-29.28	0.57	-32.14	0.62	-38.51	0.75	-42.26	0.82	-51.68	1.00	-37.19	0.72
<i>H. contortus 2</i>	25	-41.15	-33.91	0.82	-39.91	0.97	-35.12	0.85	-17.74	0.43	-17.52	0.43	-17.81	0.43	-41.15	1.00	-34.69	0.84	-39.26	0.95	-40.79	0.99
<i>S. mansoni</i>	28	-31.43	-	0	-23.53	0.75	-26.65	0.85	-21.63	0.69	-	0	-26.41	0.84	-21.63	0.69	-	0	-	0	-	-
<i>F. hepatica</i>	16	-57.02	-32.80	0.58	-53.75	0.94	-47.25	0.83	-32.89	0.58	-	0	-41.96	0.74	-41.80	0.73	-	0	-57.02	1.00	-52.15	0.91
<i>S. mediterranea-1</i>	22	-44.16	-32.07	0.73	-43.87	0.99	-37.88	0.86	-21.96	0.50	-15.99	0.36	-28.24	0.64	-26.57	0.60	-31.91	0.72	-44.16	1.00	-41.19	0.93
<i>E. multilocularis</i>	35	-42.20	-33.35	0.79	-36.34	0.86	-26.78	0.63	-19.78	0.47	-	0	-42.20	1.00	-37.35	0.89	-	0	-37.37	0.89	-28.69	0.68
<i>C. intestinalis</i>	21	-14.98	-	0	-	0	-5.60	0.37	-5.71	0.38	-	0	-	0	-14.98	1.00	-	0	-	0	-	0
<i>O. dioicaSM1</i>	20	-14.24	-	0	-	0	-	0	-14.24	1.00	-	0	-	0	-	0	-	0	-	0	-	0
<i>O. dioicaSM2+9</i>	20	-16.35	-	0	-0.82	0.05	-16.35	1.00	-14.24	0.87	-	0	-	0	-	0	-	0	-	0	-	0

0 0.6-0.7 0.8-0.9 0.9-1.0

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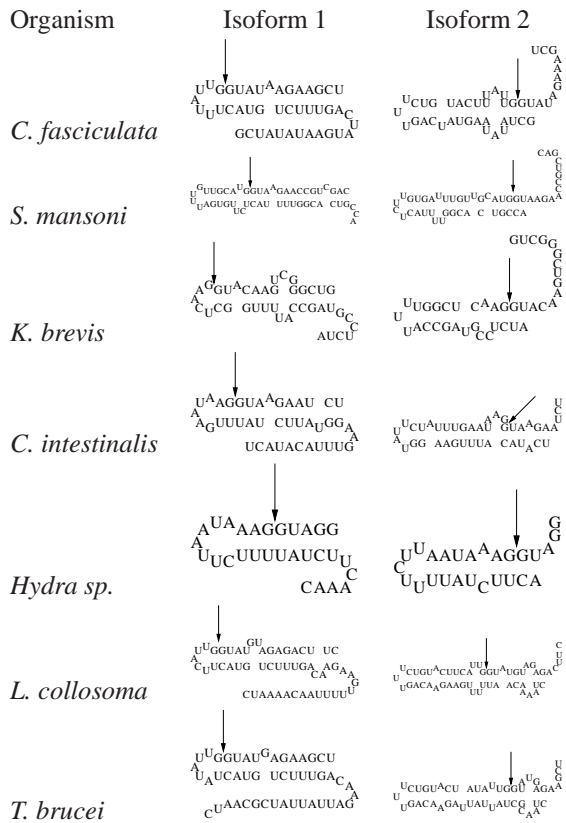


Figure 7: Two alternative secondary structures of stem I can be formed in all phyla. In case of *C. intestinalis* 16 nucleotides were added to the 5' end of the published sequence.

A re-evaluation of the available evidence on SL RNAs across Eukarya shows that there are several sequence and structure similarities among SL RNAs that together strongly suggest not only a common function but also a common mechanism. SL RNAs share:

1. The relative positioning of the splice-donor site and the Sm-binding site is the same.
2. There is a weak but recognizable shared sequence pattern, suggesting common descent or common selection pressures.
3. Structural similarities between SL RNA are much greater than recognized in previous work when natural ambient temperatures are taken into account.
4. All SL RNAs share the possibility of two alternative conformations of stem I, suggesting that the structural transition between the two states is involved in SL RNA function.

While logically possible, it seems quite unlikely that *trans*-splicing arose *de novo* several times to give rise

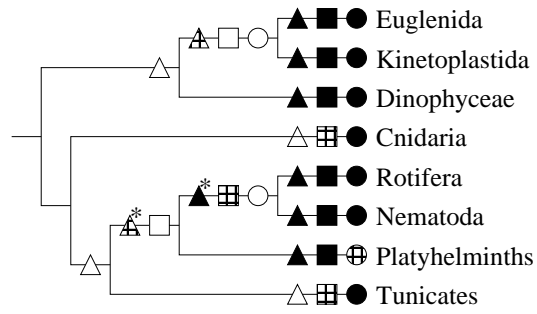


Figure 8: Sequence and structure similarities obtained by standard alignment programs ClustalW (● – full sequence, ■ – exon only) and the structural alignment tool locarnate (▲ – full sequence). Filled symbols indicate similarities, empty symbols indicate that there are no obvious common features; * – alignable with nematods SL1 only.

to SL RNAs that always share the same sequence and structure constraints, since these similarities suggest that they are interacting with the same proteins. Our analysis thus favours a common origin of *trans*-splicing, with frequent losses throughout the eukaryotic tree [8].

The inability to find SL RNA genes in genomic sequence data does make a good argument against the existence of *trans*-splicing since mutation studies in kinetoplasts and nematodes showed that much of the sequence and structure can be disrupted without consequence to function in *trans*-splicing [17, 27], indicating that SL RNAs are evolutionarily very plastic. This property is shared with several other functionally crucial ncRNAs such as telomerase RNA [28, 29] and 7SK RNA [30, 31], which so far also have been found only in a rather scattered collection of clades. It is quite conceivable, therefore, that SL RNA *trans*-splicing will be discovered in multiple additional phyla as sufficiently large EST libraries become available. The reason is that SL *trans*-splicing might well be a relatively rarely used processing mechanism in some clades. For example, the basal eukaryotes *Giardia lamblia* [32, 33] and *Trichinella spiralis* [34] have functional spliceosomes but there are only a handful of mRNAs with spliceosomal introns in these species. Similarly, introns are quite rare in *Saccharomyces cerevisiae* [35]. We argue, therefore, that the absence of SL *trans*-splicing is plausibly established only in a few organisms such as mammals, fruit-flies, yeast, or *Arabidopsis*. For these, the transcriptome is known sufficiently well to rule out with near certainty that there are unrelated mRNAs with a common leader sequence of unclear origin.

If one accepts a common origin of SL RNAs, their structural evolution must have followed one of the three scenarios outlined in Fig. 9: (1) Most ancestral SL RNAs

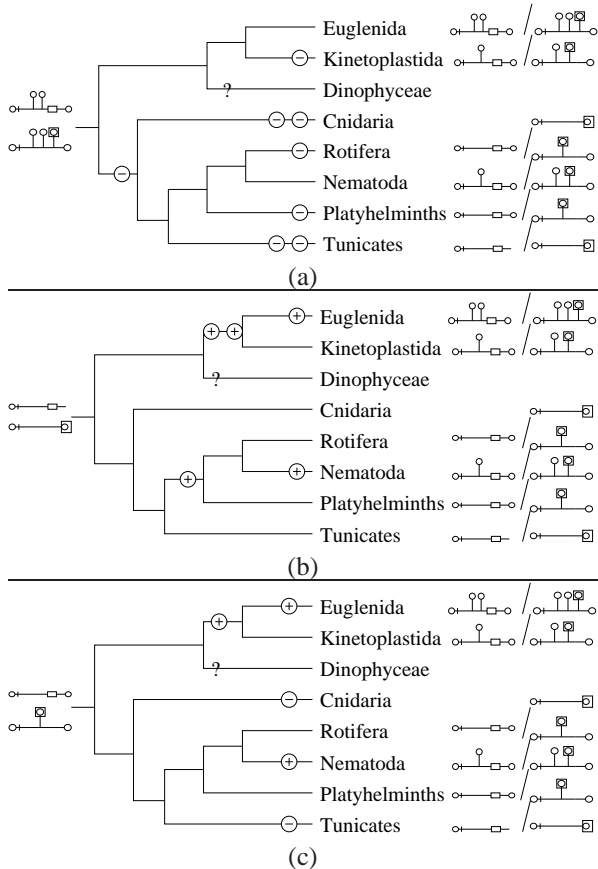


Figure 9: Three alternative scenarios for the evolution of SL RNA secondary structure. (a) Ancestral state with 4 hairpins, (b) ancestral state containing only features that are still contained in all present-day SL RNAs, (c) most parsimonious scenario minimizing the number of hairpin gain/loss events.

contain 4 hairpins close to the Sm binding site, Fig. 9a, with subsequent simplifications in some clades. (2) Alternatively, the structural complexity may have increased, Fig. 9b. (3) A maximum parsimony analysis in which we interpret the hairpins as characters, also points a structurally fairly simple ancestor, Fig. 9c. The inferred ancestral states in these scenarios should help with constructing descriptors for homology search of SL RNAs.

In summary, the re-evaluation of SL RNA sequences and secondary structures does not definitively resolve the questions regarding the origin of SL transsplicing, but it provides several lines of circumstantial evidence that consistently favour an ancient common origin in Eukarya.

Methods

Sequences. SL RNA sequences were taken from the following publications: Chordata: *Ciona intestinalis* [8], *Oikopleura dioica* [9]; Nematoda: *Caenorhabditis elegans* [5], *Ascaris* [36], *Wucheria bancrofti* [37], *Haemonchus contortus* [38], *Pristionchus pacificus* [39], *Trichinella spiralis* [14]; Platyhelminthes: *Schistosoma mansoni* [6], *Fasciola hepatica* [40], *Echinococcus multilocularis* [41], *Schmidtea mediterranea* [42]; Rotifera: *Philodina sp.*, *Adineta ricciae* [10]; Cnidaria: *Hydra sp.* [7]; Euglenida: *Euglena gracilis* [4], *Entosiphon sulcatum* [22], *Cyclidiopsis acus*, *Phacus curvicauda*, *Rhabdomonas castata*, *Menoidium pellucidum* [19] Kinetoplastida: *Trypanosoma cruzi*, *T. vivax*, *T. brucei*, *Leptomonas collosoma* [2, 3], *Leishmania enriettii* [43], *Crithidia fasciculata* [44], *Bodo caudatus* [45]. Dinoflagellata: *Karenia brevis* [11], *Karlodinium micrum*, *Pfiesteria piscicida*, *Prorocentrum minimum* [12].

A blastn search in GenBank returns additional full length SL RNA sequences, which were used in subsequent analyses: Nematoda: *Ascaris* **AB022045.1**, *Loa* **U31638.1**, *Mansonella* **AJ279033.1**, *Acanthocheilonema* **U31646.1**, *Onchocerca* **M37737.1**, *Foleyella* **AJ250988.1**, *Setaria* **AF282181.1**, *Toxocara* **U65503.1**, *Enterobius* **AY234784.1**, *Nippostrongylus* **EB185208.1**, *Meloidogyne* **CN443291.1**, *Haemonchus* **CA994732.1**, *Teladorsagia* **CB043522.1**; Platyhelminthes: *Echinostoma* **U85825.1**; Rotifera: *Bdelloidea* **AY823993.1**; Kinetoplastida: *Herpetomonas* **AY547489.1**, *Phytomonas* **AF243335.1**, *Wallaceina* **AY547488.1**.

Alignments. Sequences based alignments were calculated by ClustalW 2.0.10 with standard parameters [46]. Structure-based alignments were calculated by Locarnate [47].

Secondary Structures. Secondary Structures were computed using the Vienna RNA Package 1.8.2 [48]. We used RNAalifold for consensus structure prediction, RNAsubopt for assessing structural alternatives, and RNAeval to determine the folding energy of published RNA secondary models. Whenever individual sequences were folded, the temperature parameter was set to temperature under which the organisms are handled, see Tab. 2 for references.

The *S. mansoni* structure proposed in [40] based the sequence published in [6] contained small differences in sequences. We used the original sequence folded into the published structure.

Other Software. We used the pattern search program Meme [24] with default parameters to search for conserved sequence motifs. Phylogenetic analysis was performed with the SplitsTree package [49].

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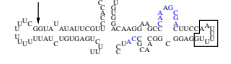
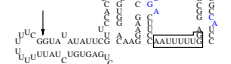
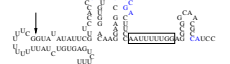
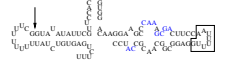
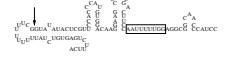
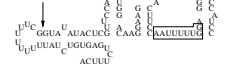
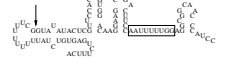
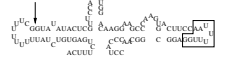
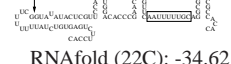
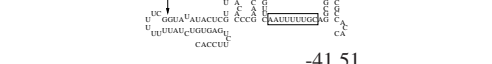
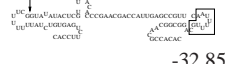
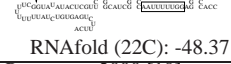
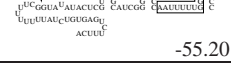
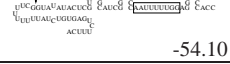
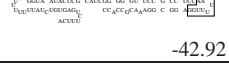
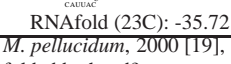
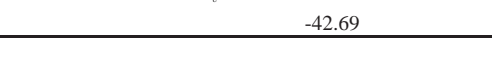
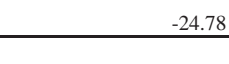
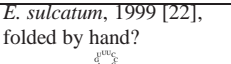

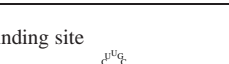
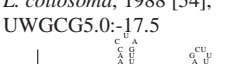


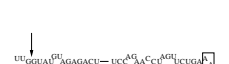
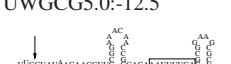
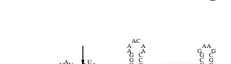
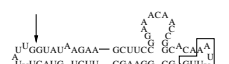

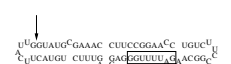
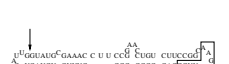




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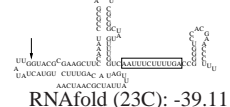

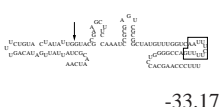
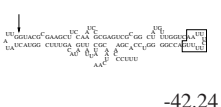
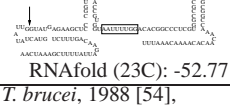

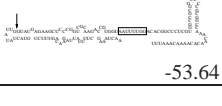
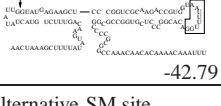
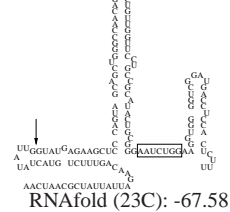

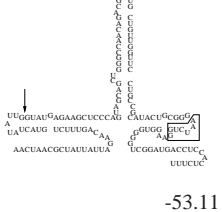
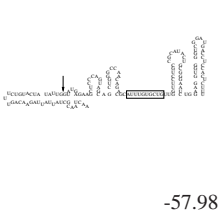
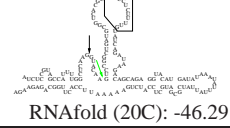
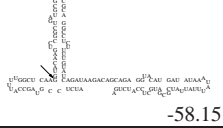


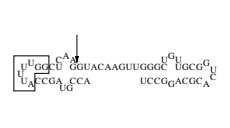



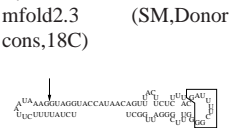
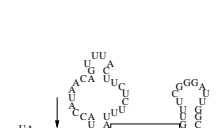

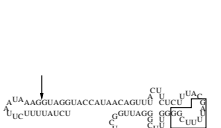
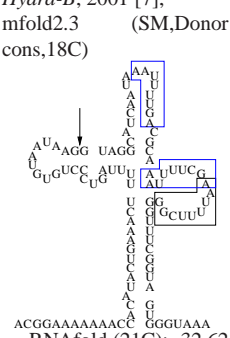
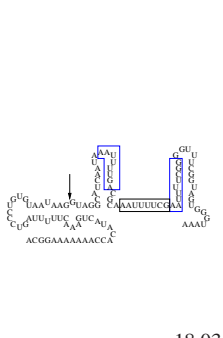
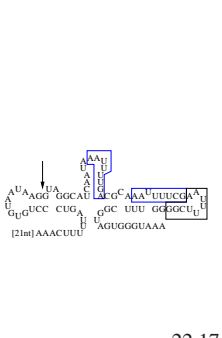
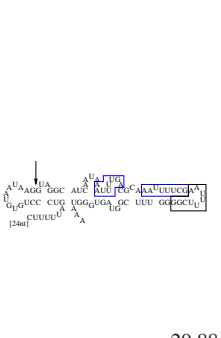
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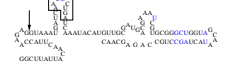

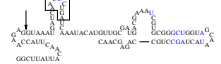
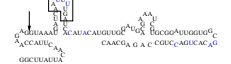

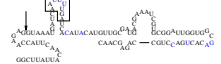

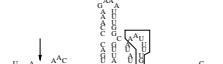
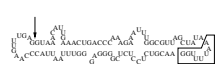
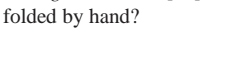
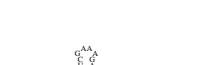


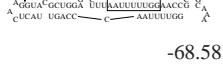
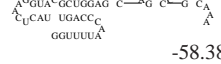
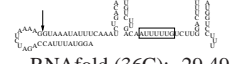
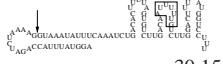
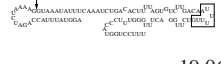

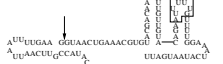
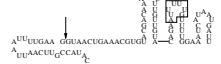

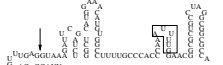
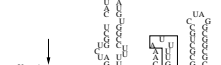
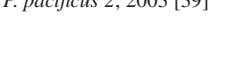

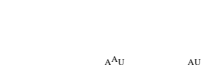
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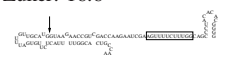
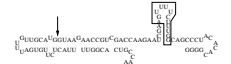
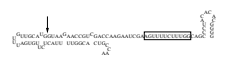
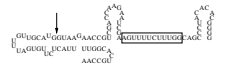

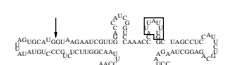


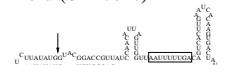

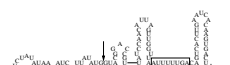

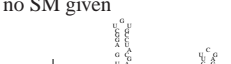


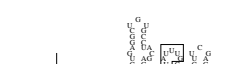





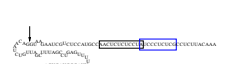
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Table 2: Sequences, secondary structures, and folding energies $\Delta G(\text{kcal/mol})$ of known SL RNAs. Donor splice site (arrow) and Sm-binding site (box) are marked. **Left column:** Structures proposed in the literature. **Right column:** Alternative structural models proposed in this work. Abbreviations: UWGCG – University of Wusconsin Genetics Computer Group; * – Recalculated; T – natural ambient temperatur of organism; Blue nucleotides (*Euglena*, Rotifera) indicate mutations within known SL RNA alignments; Blue Box (*Hydra*) – alternative SM-binding sites; Green Arrow (*K. brevis*) indicates erroneous splice site from the literature; Sequences, constraints and drawings are available at www.bioinf.uni-leipzig.de/Publications/SUPPLEMENTS/09-009

Published SL RNA	T	Alternative possible structures at organisms temperature		
<i>E. gracilis</i> , 1991 [4], folded by hand  RNAfold (29C): -26.52	29C [50]	Additional Constraint: locarna-output  -35.02	 -32.30	 -28.04
<i>E. gracilis</i> , 1999 [22], folded by hand?  RNAfold (29C): -23.49	29C [50]	Additional Constraint: locarna-output  -32.59	 -33.69	 -28.54
<i>P. curvicauda</i> , 2000 [19], folded by hand?  RNAfold (22C): -34.62	22C [51]	 -41.51		 -32.85
<i>C. acus</i> , 2000 [19], folded by hand?  RNAfold (22C): -48.37	22C	 -55.20	 -54.10	 -42.92
<i>R. costata</i> , 2000 [19], folded by hand?  RNAfold (23C): -35.72	23C [52]	 -42.69		 -24.78
<i>M. pellucidum</i> , 2000 [19], folded by hand?  RNAfold (23C): -26.58	23C	 -35.73		 -22.94
<i>E. sulcatum</i> , 1999 [22], folded by hand?  RNAfold (25C): -33.00	25C [53]	 -33.55	16 nt shorter different SM-binding site  -34.10	 -33.55
<i>L. collosoma</i> , 1988 [54], UWCG5.0:-17.5  RNAfold (28C): -24.00	28C [56]	suboptimal stem I  -27.43		 -20.04
<i>C. fasciculata</i> , 1988 [54], UWCG5.0:-12.5  RNAfold (20C): -30.83	20C [57]	suboptimal stem I  -30.10		 -31.40
<i>L. enriettii</i> , 1988 [54], UWCG5.0:-6.4  RNAfold (36C): -16.26	36C [58]	 -20.40	 -24.72	 -20.87

Published SL RNA	T	Alternative possible structures at organisms temperature		
<p><i>T. cruzi</i>, 1988 [54], UWGC5.0:-24.1</p>  <p>RNAfold (23C): -39.11</p>	23C [55]	 <p>-34.93</p>	 <p>-33.17</p>	 <p>-42.24</p>
<p><i>T. vivax</i>, 1988 [54], UWGC5.0:-26.5</p>  <p>RNAfold (23C): -52.77</p>	23C [55]	 <p>-46.90</p>	 <p>-53.64</p>	 <p>-42.79</p>
<p><i>T. brucei</i>, 1988 [54], UWGC5.0:-42.3</p>  <p>RNAfold (23C): -67.58</p>	23C [55]	 <p>-60.45</p>	 <p>-53.11</p>	alternative SM site  <p>-57.98</p>
<p><i>K. brevis</i>, 2007 [11], mfold (SM cons)</p>  <p>RNAfold (20C): -46.29</p>	20C [59]	24 nt 3' removed		
		 <p>-58.15</p>	 <p>-39.64</p>	 <p>-46.43</p>
<p><i>K. micrum</i>, 2007 [12], mfold3.1.2 (SM cons,20C)</p>  <p>RNAfold (20C): -27.68</p>	20C	79 nt 3' added		
		 <p>-66.47</p>	 <p>-50.85</p>	 <p>-63.97</p>
<p><i>Hydra-A</i>, 2001 [7], mfold2.3 (SM,Donor cons,18C)</p>  <p>RNAfold (21C): -14.37</p>	21C [60]	 <p>-10.96</p>	 <p>-9.26</p>	 <p>-18.06</p>
<p><i>Hydra-B</i>, 2001 [7], mfold2.3 (SM,Donor cons,18C)</p>  <p>ACGGAAAAAACCGGGUAAA RNAfold (21C): -32.62</p>	21C [60]	 <p>-18.03</p>	 <p>-22.17</p>	 <p>-20.88</p>

Published SL RNA	T	Alternative possible structures at organisms temperature		
<p><i>A. ricciae</i>, 2005 [10], mfold</p>  <p>RNAfold (24C): -45.31</p>	24C [61]	 <p>-36.14</p>	0	 <p>-46.28</p>
<p><i>Philodina sp.</i>, 2005 [10], mfold</p>  <p>RNAfold (20C): -51.41</p>	20C [62]	 <p>-42.28</p>	-42.21	 <p>-49.82</p>
<p><i>C. elegans 1</i>, 1988 [54], UWCG5.0:-16.0</p>  <p>RNAfold (20C): -38.14</p>	20C [63]	 <p>-44.73</p>	-43.54	 <p>-33.80</p>
<p><i>C. elegans 2</i>, 2000 [15], folded by hand?</p>  <p>RNAfold (20C): -54.79</p>	20C [63]	 <p>-68.58</p>	-57.14	 <p>-58.38</p>
<p><i>T. spiralis 1</i>, 2008 [14], mfold (SM cons)</p>  <p>RNAfold (36C): -29.49</p>	36C [64]	 <p>-30.15</p>	-27.80	 <p>-19.06</p>
<p><i>T. spiralis 2</i>, 2008 [14], mfold (SM cons)</p>  <p>RNAfold (36C): -19.51</p>	36C [64]	 <p>-17.78</p>	-11.74	 <p>-18.27</p>
<p><i>P. pacificus 1</i>, 2003 [39]</p>  <p>RNAfold (20C): -45.98</p>	20C [65]	 <p>-57.87</p>	-50.76	 <p>-54.23</p>
<p><i>P. pacificus 2</i>, 2003 [39]</p>  <p>RNAfold (20C): -41.68</p>	20C [65]	 <p>-48.85</p>	-48.98	 <p>-51.68</p>
<p><i>H. contortus 2</i>, 2001 [38]</p>  <p>RNAfold (25C): -37.63</p>	25C [66]	 <p>-47.38</p>	-39.91	 <p>-41.15</p>

Published SL RNA	T	Alternative possible structures at organisms temperature		
<p><i>S. mansoni</i>, 1990 [6], Zuker:-16.6</p>  <p>RNAfold (28C): -24.84</p>	28C [67]	 <p>-31.05</p>	 <p>-26.65</p>	 <p>-23.53</p>
<p><i>F. hepatica</i>, 1994 [40], Zuker</p>  <p>RNAfold (16C): -46.72</p>	16C [68]	 <p>-57.02</p>	 <p>-47.25</p>	 <p>-52.15</p>
<p><i>S. mediterranea-1</i>, 2005 [42], mfold (SM cons)</p>  <p>RNAfold (22C): -43.84</p>	22C [69]	 <p>-44.16</p>	 <p>-32.07</p>	 <p>-41.19</p>
<p><i>E. multilocularis</i>, 2000 [41], mfold no SM given</p>  <p>RNAfold (35C): -36.34</p>	35C [70]	 <p>-42.20</p>	 <p>-33.35</p>	 <p>-37.37</p>
<p><i>C. intestinalis</i>, 2001 [8], mfold3.0</p>  <p>RNAfold (21C): -5.71</p>	21C [71]	 <p>-14.98</p>	 <p>-5.71</p>	<p>4nt 5' added</p>  <p>-17.06</p>
<p><i>O. dioica</i>, 2004 [9], folded by hand?</p>  <p>RNAfold (20C): -14.19</p>	20C [72]	 <p>-14.24</p>		