# Structural Alignment of two RNA Sequences with Lagrangian Relaxation

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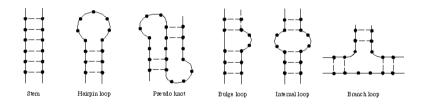
## Overview

- · Introduction
- · Graph theoretical model
- · ILP
- · Relaxed Problem
- Lagrange Relaxation
  - Lagrange Function
- · Results
- Summary

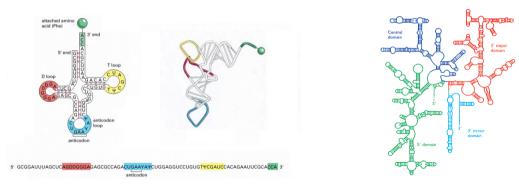
#### Introduction

#### <u>RNA</u>

- single strand: A, C, G, U
- secondary structures are formed by hydrogen bonds: G-C (3), A-U (2)



- RNAs have different structure and function (functional motifs)



 $\Rightarrow$  related functional RNAs often have low sequence but high structural similarity

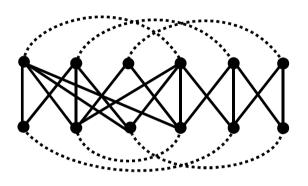
# Graph theoretical model

Given: Two annotated sequences  $(S_{1,}P_{1})$  and  $(S_{2,}P_{2})$ 

Find: Structural alignment of maximal weight

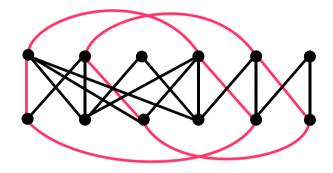
Alignment graph  $G = (V, A \cup I, w)$ :

- > Vertices: characters in  $S_1$  and  $S_2$
- Alignment edges
  - are in conflict if they cross or touch each other
- Interaction edges
  - two interaction edges are in conflict if they share one base
  - two interaction edges are realized by alignment edges
- Each alignment edge and interaction match is assigned a positive weight



#### Integer linear programming (ILP)

Objective function: 
$$\max \sum_{m \in A} \sum_{l \in A} w_{lm} y_{lm} + \sum_{m \in A} w_m x_m$$
 (1)

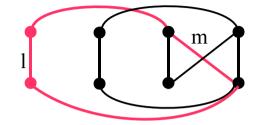


Variables:  $x \in \{0,1\}^{A}$ ,  $y \in \{0,1\}^{A \times A}$ 

 $x_m = 1$ , if the alignment edge m is part of the alignment  $y_{ml} = 1$ , if the alignment edges 1 and m realize the interaction match (1, m)

# Integer linear programming (ILP)

Constraints:



• No alignment edges are in conflict:

$$\sum_{l \in I} x_l \leq 1 \qquad \forall I \in I$$
(2)

• Interaction matches are realized by alignment edges:

$$y_{lm} = y_{ml} \qquad \forall l, m \in A, l < m$$
 (3)

• Every vertex is incident to at most one interaction edge:

$$\sum_{l \in A} y_{lm} \leqslant x_m \quad \forall m \in A \tag{4}$$

## Lagrange Function

optimization problem:  $\inf \{ f_0(x) | f_1(x) \leq 0 \}$  (1)

modified problem:  $\inf \{ f_0(x) + yf_1(x) | x \in \mathbb{R} \}$  with  $y \ge 0, y \in \mathbb{R}$  (2)

 $x^{*}(y)$ : optimal solution for a given y

y: describes a weight for (not) satisfied constraints

optimal value  $x^{*}(0)$  will violate the constraint  $f_{1}(x) \leq 0$ 

• great value for y

minimize  $f_1$ , but  $x^*(y)$  is not optimal for eq. (1)

=> find  $\bar{y} > 0$  such that  $f_1(x^*(\bar{y})) = 0$ , i.e.  $x^*(\bar{y})$  is an optimal solution of eq. (1)

## Lagrangian Relaxation

Relaxed problem:

- compute max. profit for each m and a conventional alignment
- time  $O(|A|^2)$  but solution is not optimal

Integrate bad constraints in the objective function and penalize its violation:

$$\max \sum_{m \in A} \sum_{l \in A} w_{lm} y_{lm} + \sum_{m \in A} w_m x_m + \sum_{l \in A} \sum_{m \in A, l < m} \lambda_{lm} (y_{lm} - y_{ml})$$

 $\Rightarrow$  every alignment edge choose the interaction that maximizes its overall score

#### Lagrangian multipliers

Find: Lagrangian multipliers that provide the best bound to the original problem

Iterative subgradient optimization:

$$\lambda_{lm}^0 = 0$$
 for all  $m, l \in A$ 

$$\lambda_{lm}^{i+1} = \begin{cases} \lambda_{lm}^i & \text{if } s_{lm}^i = 0\\ \max(\lambda_{lm}^i - \gamma_i, -w_{lm}) & \text{if } s_{lm}^i = 1\\ \min(\lambda_{lm}^i + \gamma_i, w_{lm}) & \text{if } s_{lm}^i = -1 \end{cases}$$

subgradient:

$$s_{lm}^i = \bar{y}_{lm} - \bar{y}_{ml}$$
 for all  $l, m \in A, l < m$ 

step size:

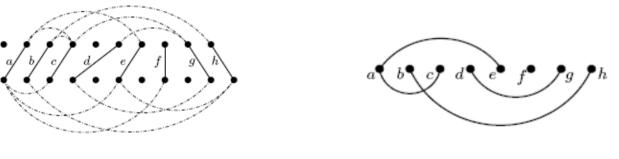
$$\gamma_i = \mu \frac{\text{UB} - \text{LB}}{\sum_{m,l \in A} (s_{lm}^i)^2}$$

# Computing an lower bound

Given:

- traditional sequence alignment (alignment edges)
- set of interaction edges

Find: best possible set of interaction edges such that no pair is in conflict



Alignment graph

Interaction matching graph

 $\Rightarrow$  Matching of maximum weight in the interaction graph

# Results

#### Data: 23S ribosomal RNA

Alignment edges:

- conventional sequence alignment with affine gap cost (6, 2)
- insert all alignment edges realized by suboptimal alignments
- scoring schema: match 4, mismatch 1, interaction match 8
- $\Rightarrow$  compute instances with 16000 21000 alignment edges in only 10 min

Inst.	${\bf Branch-and-Cut}$	Lagrange	Inst.	${\bf Branch-and-Cut}$	Lagrange
1	12563	12609	9	11975	12034
2	11566	11611	10	12055	12141
3	11744	11814	11	11618	11649
4	12260	12298	12	11611	11692
5	11709	11734	13	11491	11572
6	11569	11719	14	11521	11605
7	12193	12263	15	12067	12101
8	11586	11752	16	11804	11863

# Results

#### **Pseudoknots**

- Data
  - · 18 rRNA from Drosophila melongaster and human (1870 and 1995 bases)
  - $\cdot$  three parts within the sequence form pseudoknots
- Traditional sequence alignment
  - structural score: 12031
  - realizing 366 interaction matches
- Lagrange method
  - structural score: 12662
  - realizing 409 interaction matches

# Summary

- ILP formulation for RNA structural alignment
- Lagrangian Relaxation in time  $O(|A|^2)$
- structural alignments with higher score
- method is faster than previous algorithm
- detect conserved structures containing pseudoknots
- can be extended to multiple sequence alignments

#### References

- Structural Alignment of Two RNA Sequences with Lagrangian Relaxation, Markus Bauer, Gunnar W. Klau, Vienna University of Technology
- Lagrangian Relaxation: An Overview, Discrete Mathematics, K. Reinert
- Optimierung; Jarre, Stoer, Springer-Verlag, 2004